

# Beneficial Effects of Coating Alginate Microcapsules with Macromolecular Heparin Conjugates—*In Vitro* and *In Vivo* Study

Vijayaganapathy Vaithilingam, PhD,<sup>1,2</sup> Gabriela Kollarikova, PhD,<sup>3</sup> Meirigeng Qi, MD, PhD,<sup>4</sup>  
Rolf Larsson, PhD,<sup>5,6</sup> Igor Lacik, PhD,<sup>3</sup> Kjetil Formo, PhD,<sup>4</sup> Enza Marchese, BS,<sup>4</sup>  
Jose Oberholzer, MD,<sup>4</sup> Gilles J. Guillemain, PhD,<sup>1</sup> and Bernard E. Tuch, MD, PhD<sup>2,7</sup>

Pericapsular fibrotic overgrowth (PFO) is associated with poor survival of encapsulated pancreatic islets. Modification of the microcapsule membrane aimed at preventing PFO should improve graft survival. This study investigated the effect of macromolecular Corline Heparin Conjugate (CHC) binding on intrinsic properties of alginate microcapsules and assessed the anti-fibrotic potential of this strategy both *in vitro* and *in vivo*. CHC was bound to alginate microcapsules using a layer-by-layer approach incorporating avidin. CHC binding to alginate microcapsule was visualized by confocal microscopy. Effects of CHC binding on microcapsule size, strength, and permeability were assessed, and the anti-clotting activity of bound CHC was determined by coagulation assay. Effect of CHC binding on the viability of encapsulated human islets was assessed *in vitro*, and their ability to function was assessed both *in vitro* and *in vivo* in diabetic immunodeficient mice. The potential of bound CHC to reduce PFO was assessed *in vivo* in different rat transplantation models. Confocal microscopy demonstrated a uniform coating of CHC onto the surface of microcapsules. CHC binding affected neither size nor permeability but significantly increased the tensile strength of alginate microcapsules by ~1.3-fold. The bound CHC molecules were stable and retained their anti-clotting activity for 3 weeks in culture. CHC binding affected neither viability nor function of the encapsulated human islets *in vitro*. *In vivo* CHC binding did not compromise islet function, and diabetes was reversed in all recipients with mice exhibiting lower blood glucose levels similar to controls in oral glucose tolerance tests. CHC binding was beneficial and significantly reduced PFO in both syngeneic and allogeneic rat transplantation models by ~65% and ~43%, respectively. In conclusion, our results show a new method to successfully coat CHC on alginate microcapsules and demonstrate its beneficial effect in increasing capsule strength and reduce PFO. This strategy has the potential to improve graft survival of encapsulated human islets.

## Introduction

**M**ICROENCAPSULATING PANCREATIC ISLETS is a strategy being explored as a treatment for type 1 diabetes that may overcome the immune-mediated rejection of the graft without toxic immunosuppression. Microencapsulated islets have been shown to normalize glucose levels for extended periods in both chemically induced and spontaneously diabetic animal models of allo- and xeno- transplantation.<sup>1</sup> However, in all these studies, graft survival was limited and

varied considerably, ranging from months to a few years. Phase 1 clinical trials using microencapsulated human islets showed that allografting of microencapsulated human islets was safe but provided only a minor clinical benefit.<sup>2-4</sup> Laparoscopic retrieval of the microcapsules from a human recipient at 1.3 years post-transplantation showed the presence of dense pericapsular fibrotic overgrowth (PFO) surrounding the microcapsules with no viable islets.<sup>3</sup> The major factor responsible for the poor graft survival may be attributed to PFO that starts in the early post-transplantation period,<sup>5-8</sup> as

<sup>1</sup>Department of Pharmacology, School of Medical Sciences, Faculty of Medicine, University of New South Wales, Randwick, Australia.

<sup>2</sup>CSIRO, Materials Science and Engineering, North Ryde, Australia.

<sup>3</sup>Department for Biomaterials Research, Polymer Institute of the Slovak Academy of Sciences, Bratislava, Slovakia.

<sup>4</sup>Department of Surgery, University of Illinois at Chicago, Chicago, Illinois.

<sup>5</sup>Corline System AB, Uppsala, Sweden.

<sup>6</sup>Department of Immunology, Genetics and Pathology, University of Uppsala, Uppsala, Sweden.

<sup>7</sup>Centre for Reproduction and Development, Monash Institute of Medical Research, Monash University, Australia.

either a foreign body reaction against the microcapsules and/or an immunological response to small-molecular-weight antigens shed by the encapsulated islets.<sup>9–11</sup> This fibrotic overgrowth, which consists mainly of macrophages and fibroblasts,<sup>12,13</sup> interferes with the transport of nutrients and oxygen, consequently leading to hypoxia, islet cell starvation, and apoptosis.<sup>14</sup> Further, the immune cells in the pericapsular infiltrate can release deleterious cytokines/chemokines that are capable of permeating through the capsule pores and damaging the islets.<sup>14</sup> Thus, modification of the capsule membrane aimed at preventing PFO should enhance islet survival and improve transplant outcomes.

Many strategies such as dual costimulatory blockade,<sup>15</sup> co-encapsulation with sertoli cells, and the use of steroids<sup>16,17</sup> and antifibrotic agents such as HOE 077<sup>18</sup> have been used to try and prevent PFO in a preclinical setting. None have yet been tried in humans. Coating of heparin on biomaterials has been shown to improve their biocompatibility by inhibiting fibrotic overgrowth.<sup>19</sup> In this study, we coated the alginate microcapsules with macromolecular Corline Heparin Conjugates (CHC), a strategy that has been successfully used on nonencapsulated islets<sup>20,21</sup> and is being prepared for clinical trial. However, to our knowledge, there are no studies investigating the binding of CHC on alginate microcapsules. So, in this study, we investigated whether CHC could be bound efficiently to alginate microcapsules without adversely affecting the function of the encapsulated cells or the intrinsic properties of the microcapsules. The effect of CHC binding on encapsulated islet function was assessed both *in vitro* and *in vivo*, and its anti-fibrotic potential was investigated in both syngeneic and allogeneic transplants.

## Materials and Methods

### Alginate microcapsules

Barium alginate microcapsule formation was carried out by extruding highly purified 2.2% alginate (60:40 glucuronic acid:mannuronic acid, UPMVG PRONOVA; FMC Biopolymer) solution through a stainless steel air-driven droplet generator (Steinau) and collecting the droplets in a barium chloride (20 mM) precipitation bath as previously described.<sup>22</sup>

### CHC binding to microcapsules

Alginate microcapsules were washed twice in sterile 0.9% saline and incubated with avidin (0.5 mg/mL; Corline Systems AB) in a volumetric ratio of 1:1 for 15 min at room temperature, followed by washings and incubation with CHC (1 mg/mL; Corline Systems AB) in a volumetric ratio of 2:3 for 60 min at room temperature with gentle mixing. Final washing in 0.9% saline was done twice to remove any unbound CHC. Alginate microcapsules without CHC served as controls. Hereafter, the CHC-coated alginate microcapsules are referred to as "heparinized microcapsules," and the nonheparin-coated microcapsules are referred to as "non-heparinized microcapsules," respectively.

### Confocal microscopy

CHC bound to alginate microcapsules was visualized by confocal microscopy after incubating empty microcapsules for 15 min with avidin-Texas red (0.01 mg/mL) (Molecular Probes Europe BV) followed by incubation with CHC (0.02 mg/mL)

labeled with Alexa 488 (Molecular Probes Europe BV) for 60 min at room temperature. Images were acquired with a Nikon confocal microscope A1 (Nikon Corporation) equipped with a Nikon Eclipse inverted Ti microscope stand using the 487 and 561 nm laser line, 500–550 and 570–620 band pass filters for Alexa 488 and Texas Red, respectively. Three-dimensional projections of the acquired Z-stacks were analyzed using NIS-Elements software (Nikon Corporation).

### Intrinsic properties of microcapsules

**Size.** The size of heparinized and non-heparinized microcapsule was estimated using optical microscopy as previously described.<sup>23</sup> The diameter of randomly selected microcapsules was measured, and the mean  $\pm$  SEM was calculated.

**Strength.** The mechanical properties of heparinized and non-heparinized microcapsules were tested on a Texture Analyzer TA-2Xi (Stable Micro Systems) that was equipped with a force transducer of resolution 1 mN as previously described.<sup>23</sup>

**Permeability.** Penetration of IgG labeled with Alexa Fluor 488 (Molecular Probes-Invitrogen) into heparinized and non-heparinized microcapsules was measured by confocal laser scanning microscopy using a microscope Zeiss LSM 510 (Carl Zeiss) on Axiovert 200 with objective 20 $\times$ LD Achromplan and pinhole 1.8 airy unit. Briefly, 3–5 microcapsules were incubated for 2 and 24 h, respectively, in 10<sup>-7</sup> M IgG solution in CMRL medium containing 0.1 wt% sodium azide. The excitation wavelength of 488 nm and emission wavelength of 505 nm were used. The percentage of mean fluorescence intensity of penetrated IgG was calculated as

$$I_{\text{mean}} = \frac{I_{\text{inside}}}{I_{\text{outside}}} \cdot 100,$$

where  $I_{\text{inside}}$  and  $I_{\text{outside}}$  are the intensities measured inside and outside (in the IgG solution) of microcapsules, respectively.

### Activated partial thromboplastin time assay

The anticoagulant activity of bound CHC on empty alginate microcapsules was determined by activated partial thromboplastin time (APTT) assay. Briefly, the heparinized and non-heparinized microcapsules (100  $\mu$ L) were added into an eppendorf tube containing 500  $\mu$ L of fresh human plasma. Homogenization of the microcapsules was carried out using a glass rod, and the resulting plasma supernatant was collected. The plasma supernatant was then incubated with 0.1 mL of APTT reagent (TriniCLOT<sup>TM</sup> aPTT S; Tcoag Ireland Limited) for 2 min at 37°C. After incubation, 0.1 mL of 0.02 M calcium chloride was added, and the time was recorded from this point until the fibrin clot was formed. Both positive and negative controls, namely plasma with heparin conjugate and plasma alone, were used.

### Islet isolation, encapsulation, and CHC binding

**Rat islets.** Male Lewis rats (Harlan Industries), weighing 175–200 g, were used as pancreas donors for islets. A

laparotomy was performed followed by incision into the thoracic cavity and section of the heart for euthanasia by exsanguination. Collagenase type XI (Sigma) 2.2 mg/mL was injected via the bile duct into the main pancreatic duct to distend the pancreas. After excision, each pancreas was suspended in 7.5 mL of its respective perfusion solution, incubated at 37°C for 16 min followed by washing in ice-cold RPMI media. Islets were then separated from exocrine tissue by discontinuous Ficoll density gradients (Mediatech, Inc.) and cultured in supplemented RPMI media for 24 h before encapsulation. The islets were then encapsulated in 2.2% alginate solution (1:8) and heparinized as described earlier.

**Human islets.** Human islets were isolated from pancreases of brain dead cadaveric multiorgan donors ( $n=3$ ) at the Cell Isolation Laboratory of the University of Illinois at Chicago, USA, as previously described<sup>24</sup> before being shipped to Sydney. Viability of these islets has been previously demonstrated.<sup>25,26</sup> The islets were then encapsulated in 2.2% alginate solution (1:8) and heparinized as described earlier.

#### *FAO-ins culture, encapsulation, and CHC binding*

FAO-ins is an insulin synthesizing rat liver cell line (FAO) that is derived from the Reuber H35 rat hepatoma belonging to the inbred AxC rat strain.<sup>27</sup> The cells were grown to confluence in high glucose supplemented DMEM media as previously described.<sup>28</sup> Cells were trypsinised to form a single-cell suspension for cell counting and encapsulated in 2.2% alginate solution (1:8) and heparinized as described earlier.

#### *Viability*

Viability of cultured heparinized and non-heparinized encapsulated human islets or FAO-ins cells was assessed 24 h postencapsulation using live/dead staining with the fluorescent dyes 6-carboxyfluorescein diacetate (6-CFDA; Sigma) and propidium iodide (PI; Sigma) as previously described.<sup>26</sup>

#### *Insulin secretion and content of encapsulated human islets*

Insulin secretion and content were determined as previously described.<sup>26</sup> Briefly, aliquots of heparinized and non-heparinized encapsulated human islets were exposed to either 2.8 mM glucose (basal) or 20 mM glucose (stimulus) for 1 h at 37°C, and the supernatant was collected for insulin measurement by radioimmunoassay (RIA; Linco). The remaining pellet was lysed with cold acid ethanol, kept at 4°C overnight, and the supernatant was analyzed for insulin content by RIA.

#### *Transplantation of encapsulated human islets into immunodeficient mice*

Encapsulated human islets were injected into the peritoneal cavity of diabetic male NOD/SCID mice (6–8 weeks) using a 20-gauge catheter as previously described.<sup>26</sup> Mice were transplanted with 2000 IEQs of either heparinized or non-heparinized encapsulated human islets. An oral glucose tolerance test (OGTT) was carried out by fasting the animals overnight followed by oral gavage (3 mg/g of 300 mg/mL glucose solution), and BGLs were measured at 0, 20, 40, 60, and 120 min after glucose administration. Blood samples

(200  $\mu$ L) were collected both before and 20 min after glucose administration, and human C-peptide was measured by RIA (Linco Research).

#### *Transplantation of encapsulated islets or FAO-ins cells into immunocompetent rats*

**Syngeneic transplantation.** Male Lewis rats (10 weeks) were transplanted intraperitoneally with non-heparinized or heparinized encapsulated syngeneic rat islets using a 20-gauge catheter. Each rat received 1000 IEQ encapsulated islets in 3 mL of 0.9% saline.

**Allogeneic transplantation.** Female Wistar rats (4–5 weeks) were transplanted intraperitoneally with both heparinized and non-heparinized encapsulated FAO-ins cells ( $30 \times 10^6$  cells per animal). Wistar rats express the major histocompatibility locus RT1u, while the FAO-ins cell line that was derived from the inbred AxC rat strain,<sup>27</sup> a sub-strain of ACI rats which express the major histocompatibility locus RT1a. As such, the transplantation of FAO-ins cells into Wistar rats represents an allogeneic transplant.

**Transplantation of empty microcapsules.** As controls, non-heparinized empty barium alginate microcapsules were transplanted into the peritoneal cavity of both Lewis and Wistar rats.

#### *Graft retrieval and evaluation of pericapsular fibrosis*

The rats transplanted with encapsulated rat islets, FAO-ins cells, and empty microcapsules were culled at 3 weeks post-transplantation and microcapsules were recovered by peritoneal lavage as previously described.<sup>29</sup> Microcapsules were assessed for (1) retrieval rate, (2) state of retrieved microcapsules, (3) evidence of breakage, (%) and (4) fibrotic overgrowth.

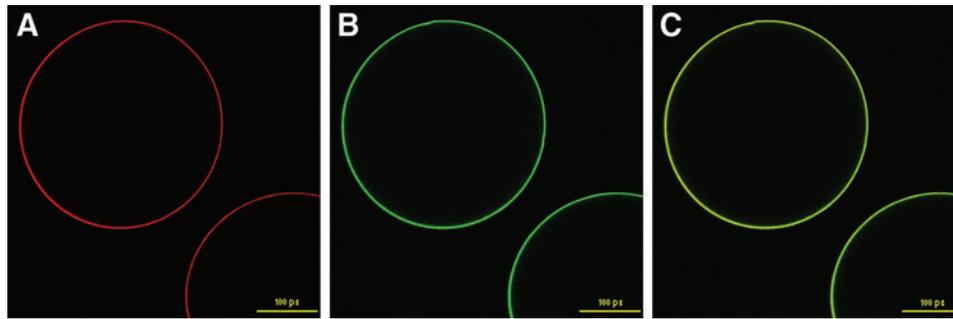
- (1) Graft retrieval rate was calculated using the equation, Graft recovery (%) =  $(\text{PCV post-transplant} / \text{PCV pre-transplant}) \times 100$ , where PCV is the packed capsule volume.
- (2) State of the retrieved microcapsules was determined by manual observation as to whether they were freely floating in the peritoneal cavity, clumped, or adherent to abdominal organs.
- (3) Number of broken microcapsules was calculated using the equation,

Intact microcapsules (%) =  $(\text{No. of broken microcapsules} / \text{Tot}) \times 100$ , where Tot is the total number of microcapsules analyzed for that sample.

- (4) A random aliquot of retrieved microcapsules was taken from each rat, and the degree of fibrotic overgrowth was assessed in a blinded fashion using the scoring system as described elsewhere<sup>29</sup>: Score 0 = no overgrowth, Score 1+ = < 25% overgrowth, Score 2+ = 25–50% overgrowth, Score 3+ = 50–75% overgrowth, and Score 4+ = > 75% overgrowth. The cell adhesion score was calculated as  $(0 \times \% \text{ Score } 0) + (2 \times \% \text{ Score } 1+) + (4 \times \% \text{ Score } 2+) + (8 \times \% \text{ Score } 3+) + (16 \times \% \text{ Score } 4+)$ .

#### *Histological analysis*

Retrieved microcapsules were fixed in 10% neutral buffered formalin, embedded in paraffin blocks, sectioned at



**FIG. 1.** Corline Heparin Conjugates (CHC) bound to surface of alginate microcapsules. Confocal microscopy images of CHC bound to the surface of barium alginate microcapsules showing the adsorption of (A) avidin (labeled with Texas Red, red) and (B) CHC (labeled with Alexa 488, green) and (C) merged images of avidin and CHC on the surface of microcapsules. Color images available online at [www.liebertpub.com/tea](http://www.liebertpub.com/tea)

5 µm intervals, and stained with haematoxylin-eosin to assess the degree of PFO.

#### Ethics approval

All procedures relating to mice and rats were approved by the appropriate Institution's Animal Ethics Committee. Approval to human islets was obtained from the Institution's Human Research Ethics Committee.

#### Statistical analysis

All data are presented as mean ± standard error of mean (SEM). Differences between two groups were analyzed by the two-tailed Student's *t*-test and of more than two groups by one-way ANOVA with *post-hoc* Duncan's Multiple-Comparison test using NCSS 2004 (NCSS). Significant differences among data groups were assigned when  $p < 0.05$ .

## Results

### CHC binding increased capsule strength without altering permeability

Confocal microscopy images demonstrated that a uniform coating of CHC was established on the surface of the alginate microcapsules using the simple two-step procedure incorpo-

**TABLE 1.** EFFECT OF CORLINE HEPARIN CONJUGATES BINDING ON SIZE AND STRENGTH OF MICROCAPSULES

Microcapsule properties	Nonheparinized microcapsules	Heparinized microcapsules
Size (µm)	575 ± 0.011	593 ± 0.007
Mechanical strength—compression resistance at 65% deformation (g/microcapsule)	10.1 ± 0.58	12.9 ± 0.57 <sup>a</sup>

CHC binding did not alter the size but increased the strength of alginate microcapsules. Values = mean ± SEM;  $p = 0.185$  for size ( $n = 120$  capsules for each group).

<sup>a</sup> $p < 0.001$  for strength ( $n = 25$  capsules for each group) between nonheparinized and heparinized empty alginate microcapsules (Student's *t*-test).

CHC, Corline Heparin Conjugates.

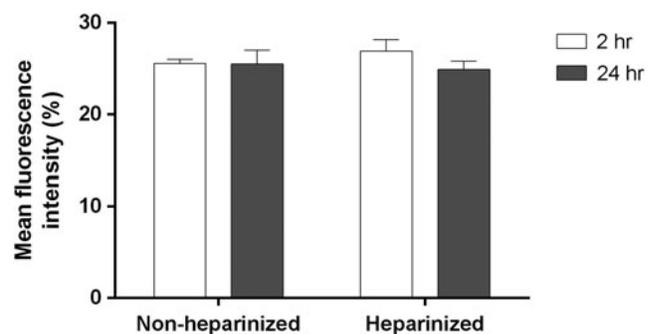
rating avidin as the cationic linker (Fig. 1A–C). CHC binding did not alter the microcapsule size but significantly enhanced the mechanical strength by ~1.3-fold compared with non-heparinized capsules (Table 1). CHC binding did not alter permeability, and both types of microcapsules were permeable to IgG, with mean fluorescent intensity similar at ~25% (Fig. 2).

### Bound CHC retained its anti-clotting activity

The clotting time for empty heparinized microcapsules was significantly higher at all the time points measured compared with the non-heparinized and normal plasma controls (Table 2). However, the clotting time of heparinized microcapsules diminished with time, being 58.1 ± 0.63 s at day 21 compared with 170 s on day 1 post-heparinization.

### CHC binding did not affect cell viability

CHC immobilization did not alter the viability of encapsulated FAO-ins cells (heparinized vs. non-heparinized: 76.6% ± 1.43% vs. 74.7% ± 1.44%;  $p = 0.356$ ;  $n = 100$  for each group) or human islets (heparinized vs. non-heparinized:



**FIG. 2.** Effect of CHC binding on permeability of alginate microcapsules. Quantification of permeated-labeled IgG as a percentage of mean fluorescence intensity measured inside the capsule compared with the intensity detected outside. Values are corrected for background before rationing. Values = mean ± SEM ( $n = 5$ );  $p = 0.306$  and  $p = 0.732$  for mean fluorescence intensity between non-heparinized and heparinized microcapsules for 2 and 24 h respectively (Student's *t*-test).

TABLE 2. ANTI-CLOTTING ACTIVITY OF BOUND CORLINE HEPARIN CONJUGATES MEASURED OVER 21 DAYS POSTHEPARINIZATION

Groups	Day 1 postheparinization (s)	Day 7 postheparinization (s)	Day 14 postheparinization (s)	Day 21 postheparinization (s)
Plasma alone	35.7±0.58	35.2±0.91	34.7±0.64	36.4±0.35
Plasma + non-heparinized microcapsules	47.4±0.83	47.6±1.02	46.2±1.04	48.3±1.03
Plasma + heparinized microcapsules	170±0.0 <sup>a</sup>	84.3±3.07 <sup>a</sup>	74.9±2.37 <sup>a</sup>	58.1±0.63 <sup>a</sup>
Plasma + heparin	170	170	170	170

APTT test was carried to determine the long-term bioactivity of CHC coated onto empty alginate microcapsules. Values=mean±SEM ( $n=3$  for each time point and group).

<sup>a</sup> $p < 0.001$  clotting times (in second) for heparinized microcapsules at days 1, 7, 14, and 21 compared with non-heparinized microcapsules and plasma alone at each time point (one-way ANOVA with *posthoc* Duncan's Multiple-Comparison test). Upper limit of the assay is 170 s.

87.7%±0.66% vs. 86.3%±0.52%;  $p=0.119$ ;  $n=100$  for each group) as assessed by live/dead staining.

#### CHC binding did not affect human islet function in vitro

Heparinization of alginate microcapsules also did not affect the function of human islets, as assessed by secretion of insulin by static exposure to 20 mM glucose. Heparinized encapsulated islets secreted insulin in a similar manner to non-heparinized controls, with a stimulation index of 1.9 versus 2.4 respectively (Fig. 3).

#### CHC binding preserved human islet function in vivo

All the mice receiving heparinized encapsulated human islets achieved euglycemia by 3.3±0.88 days (range 2–5 days), and the BGLs declined from 23.1±1.41 to 4.6±0.31 mM, similar to non-heparinized controls. All these animals remained euglycemic till the end of the study at 60 days post-transplantation (Fig. 4A). To assess graft function further, an OGTT was carried out at 30 days post-transplantation. All the mice receiving heparinized and non-heparinized encapsulated human islets handled glucose normally and exhibited lower blood glucose levels

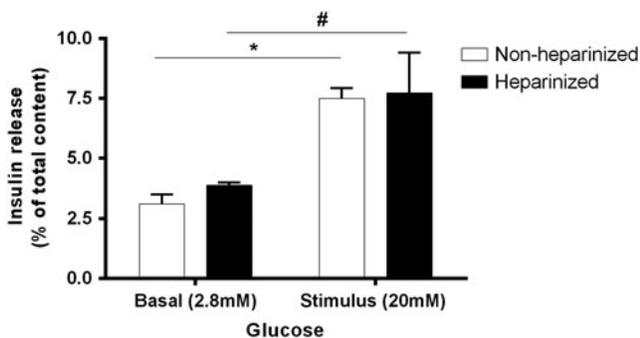


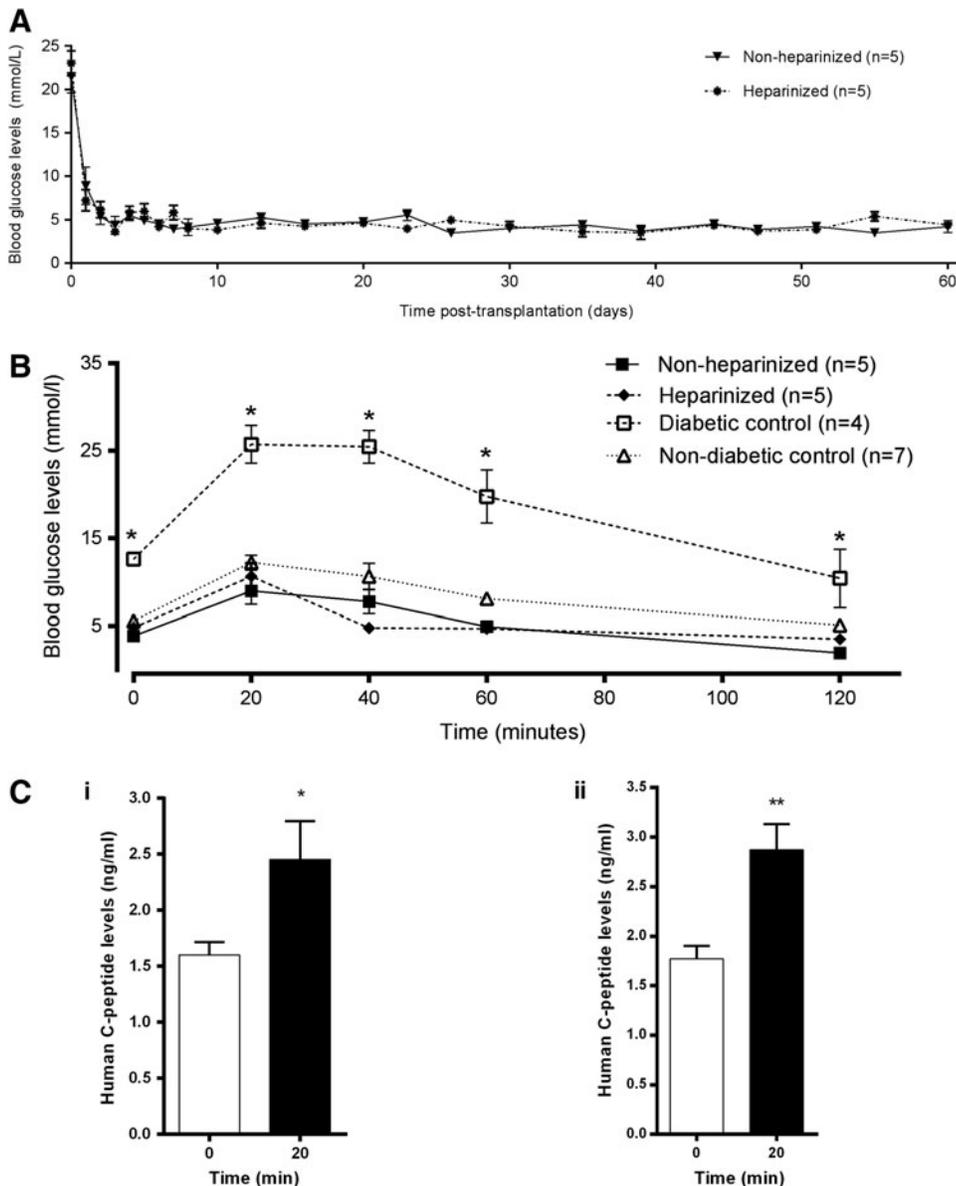
FIG. 3. Effect of CHC binding on islet function *in vitro*. Effect of heparin binding on encapsulated human islet function after 24 h: basal (2.8 mM glucose) and stimulated (20 mM glucose) insulin secretion as measured by RIA. Values are mean±SEM ( $n=3$ ); \* $p < 0.001$  and # $p=0.041$  for basal versus stimulated insulin secretion for non-heparinized and heparinized encapsulated human islets, respectively (Student's *t*-test).

than the diabetic controls but similar to what was observed with nondiabetic controls (Fig. 4B). Further, both groups of mice responded with a significant rise in the plasma human C-peptide levels 20 min after oral glucose administration (Fig. 4C).

#### CHC binding reduced PFO in both a syngeneic and allogeneic transplantation setting

**Syngeneic transplantation.** All microcapsules retrieved at 3 weeks post-transplantation were intact and free floating without any clumping or adherence to abdominal organs (Table 3 and Fig. 5A, B). Retrieval rates in both non-heparinized and heparinized groups were similar to controls transplanted with empty microcapsules. The majority of microcapsules had no PFO, and the percentage of microcapsules with a score 0 was similar in both heparinized (87.3%±6.17%) and non-heparinized (85.7%±6.55%) groups (Fig. 6A). On the contrary, microcapsules with a fibrotic score ≥3+ were seen only in the non-heparinized groups (ranging from 0.7–2.0±0.67–0.58%). The extent of PFO as measured by the cell adhesion score was significantly reduced by ~2.7-fold in the heparinized compared with the non-heparinized group (0.26±0.13 vs. 0.75±0.06) (Fig. 6B).

**Allogeneic transplantation.** All microcapsules retrieved at 3 weeks post-transplantation were intact, and the retrieval rates were similar in both non-heparinized and heparinized groups (Table 3 and Fig. 5C, D). In the group receiving non-heparinized encapsulated FAO-ins cells, most microcapsules had a fibrotic score of 3+ (25.8%±1.68%) or 4+ (65.6%±1.81%) and were found clumped and adherent to abdominal organs (Fig. 6C). On the other hand, in rats that received heparinized encapsulated FAO-ins, microcapsules were freely floating, with no clumping, and very few capsules adherent to abdominal organs. The majority of retrieved microcapsules in the heparinized group had a fibrotic score of 2+ (28.8%±4.42%) or 3+ (42.2%±1.68%), and the percentage of microcapsules with a score of 4+ (16.8%±1.36%) was less than that of the non-heparinized group (Fig. 6C). The extent of PFO as measured by the cell adhesion score was also significantly reduced by ~1.8-fold in the heparinized compared with the non-heparinized group (7.3±0.22 vs. 12.9±0.27) (Fig. 6D). Histological analysis showed the presence of dense fibrotic overgrowth



**FIG. 4.** Effect of CHC binding on islet function *in vivo*. 2000 IEQs of heparinized or non-heparinized encapsulated human islets were transplanted into the peritoneal cavity of diabetic NOD/SCID mice and monitored for approximately 2 months post-transplantation. **(A)** Normoglycemia was achieved in 100% mice receiving both non-heparinized and heparinized encapsulated human islets by  $3.3 \pm 0.88$  days post-transplantation. Values = mean  $\pm$  SEM. **(B)** OGTT done at day 30 post-transplantation after normalization of BGL. Values = mean  $\pm$  SEM; \* $p < 0.001$  at 0, 20, 40, 60, and 120 min; at all time points, diabetic control > nondiabetic, heparinized and nonheparinized groups (One-way ANOVA with *posthoc* Duncan's Multiple-Comparison test). **(C)** Human C-peptide levels at 0 and 20 min after oral glucose administration in mice transplanted with (i) non-heparinized and (ii) heparinized encapsulated human islets, respectively. Values = mean  $\pm$  SEM ( $n = 5$ ); \* $p = 0.029$  and \*\* $p = 0.0019$  at 0 and 20 min for mice receiving non-heparinized and heparinized encapsulated human islets, respectively (Student's *t*-test).

surrounding a single or group of microcapsules in the non-heparinized (Fig. 7A, B) compared with the heparinized microcapsules (Fig. 7C, D).

In the control Lewis and Wistar rats, that received non-heparinized empty alginate microcapsules, there was no fibrotic overgrowth in the majority of the microcapsules (Table 3 and Fig. 5E, F). Almost all ( $\geq 95\%$ ) were free floating and had a fibrotic score of 0, with  $< 5\%$  having a fibrotic score of 1+ (Fig. 6A, C), with a cell adhesion score of  $0.08 - 0.09 \pm 0.014 - 0.006$  (Fig. 6B, D). Histological analysis confirmed the absence of PFO on the surface of empty microcapsules (Fig. 7E, F).

## Discussion

PFO is one of the major reasons for the limited graft survival of a bioartificial pancreatic device<sup>14</sup> due to the adsorption of fibrinogen and other proteins to the biomaterial

surface. This aids in macrophage binding, thereby initiating a foreign body reaction.<sup>30-32</sup> Heparin-coated polymers have been shown to reduce fibrinogen adsorption compared with uncoated polymers, thus providing a strategy to reduce inflammation and prevent fibrotic overgrowth.<sup>33-35</sup> Thus, we hypothesized that anchoring heparin conjugates (CHC) to alginate microcapsules should improve the biocompatibility and prevent PFO in the immediate post-transplantation period. In this study, we have reported a simple method to bind CHC to the surface of the barium alginate microcapsules. Heparin conjugation has previously been shown to improve the compatibility of varied biomaterials in both experimental and clinical studies.<sup>21</sup> The CHC used in this study was a water-soluble macromolecule consisting of 70 heparin molecules bound to a carrier chain.<sup>36</sup> These heparin conjugate molecules being negatively charged can bind strongly to any cationic surface. Alginate polymers are composed of  $\beta$ -D-mannuronic and  $\alpha$ -L-guluronic acids with

TABLE 3. MORPHOLOGICAL ASSESSMENT OF GRAFTS RETRIEVED FROM THE PERITONEAL CAVITY OF IMMUNOCOMPETENT RATS IN DIFFERENT TRANSPLANTATION SETTINGS

Groups	Graft recovery (%)	Intact microcapsules (%)	State of retrieved microcapsules
A. Transplantation of empty microcapsules			
Non-heparinized ( $n=8$ )	82.2±3.20	100	FF
B. Syngeneic transplantation			
Non-heparinized ( $n=3$ )	80.3±2.67	100	FF
Heparinized ( $n=3$ )	86.0±3.00	100	FF
C. Allogeneic transplantation			
Non-heparinized ( $n=5$ )	71.2±4.52	100	FF, A and C
Heparinized ( $n=5$ )	69.6±4.23	100	FF and few A

FF—Freely floating in the peritoneal cavity.

A—Adherent to abdominal organs.

C—Clumping of microcapsules.

different compositions and spatial arrangements conferring a net negative charge to the capsule surface.<sup>37</sup> Since CHC can only bind to a cationic surface, the coating of the microcapsule with avidin renders its ionic charge positive. Avidin has a strong affinity for heparin,<sup>38</sup> making for the ready binding of CHC onto the microcapsule surface. By this method, we have achieved a uniform coating of CHC on the surface of alginate microcapsules.

This chemical approach to anchor CHC was chosen, as it did not interfere with the antithrombin (AT)-binding sites of heparin. Further, CHC binding altered neither size nor permeability of the alginate microcapsules but increased its tensile strength by ~28%. However, the heparin bioactivity was gradually lost during time in culture as evident from the decreased clotting times in the APTT assay. Despite this gradual loss over 3 weeks the anchored CHC achieved an

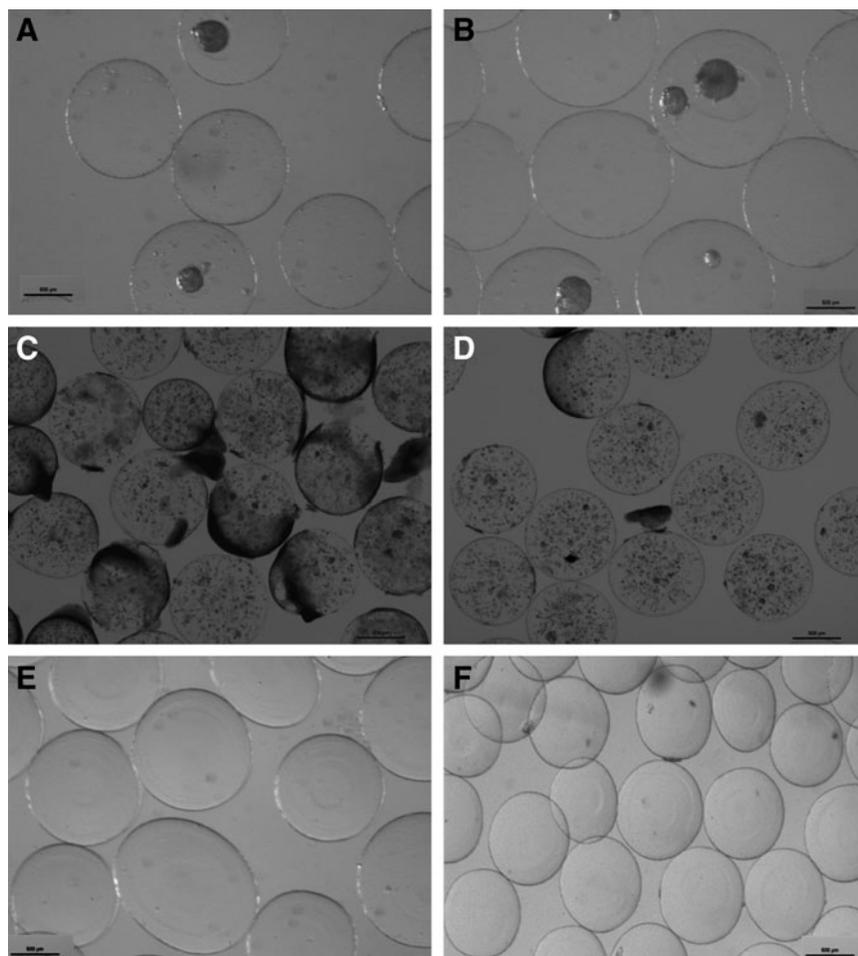


FIG. 5. Host cell adhesion to grafts in different transplant settings 3 weeks after implantation in the peritoneal cavity of immunocompetent rats. Encapsulated rat islets (A—non-heparinized; B—heparinized), encapsulated FAO-ins cells (C—non-heparinized; D—heparinized) and non-heparinized empty microcapsules (E—Lewis rats and F—Wistar rats).

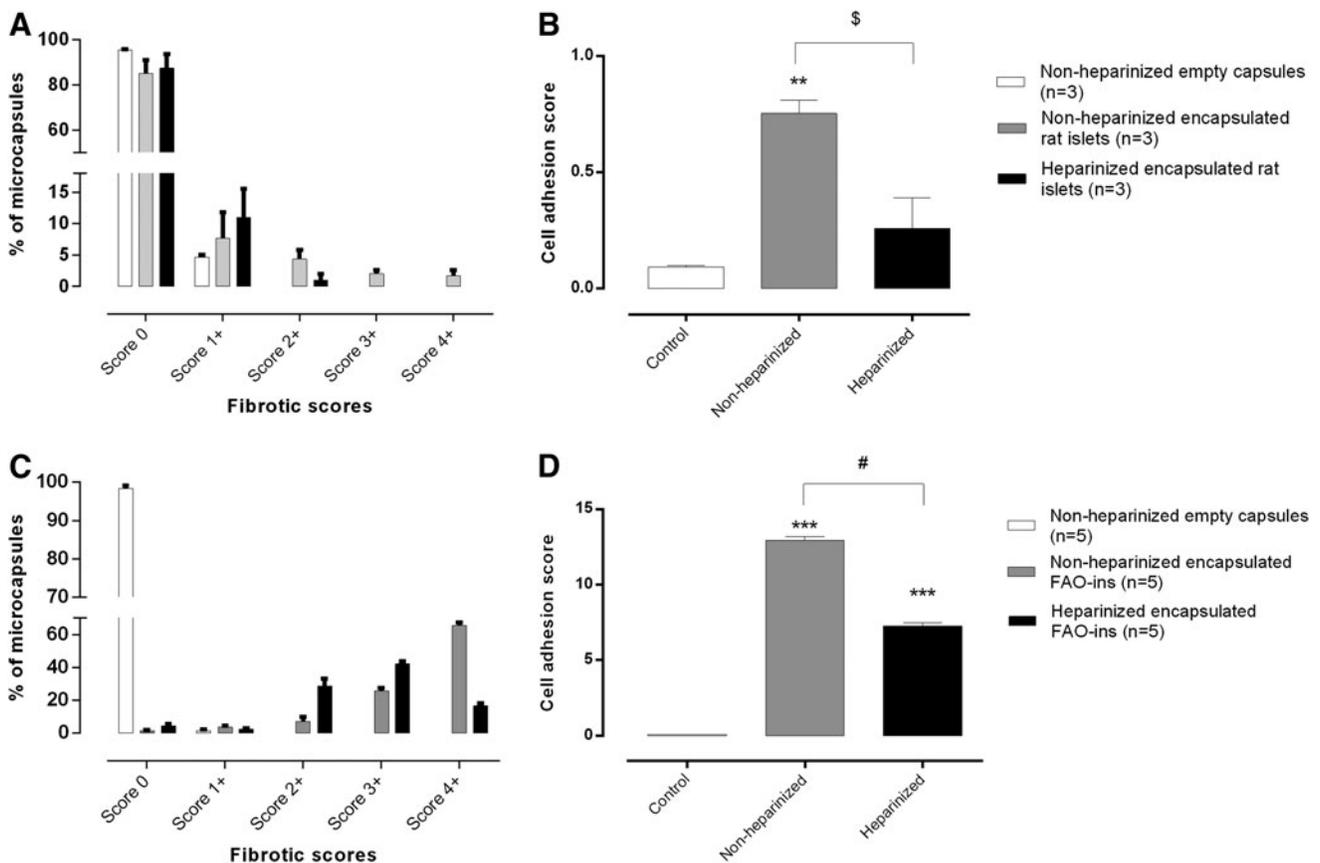
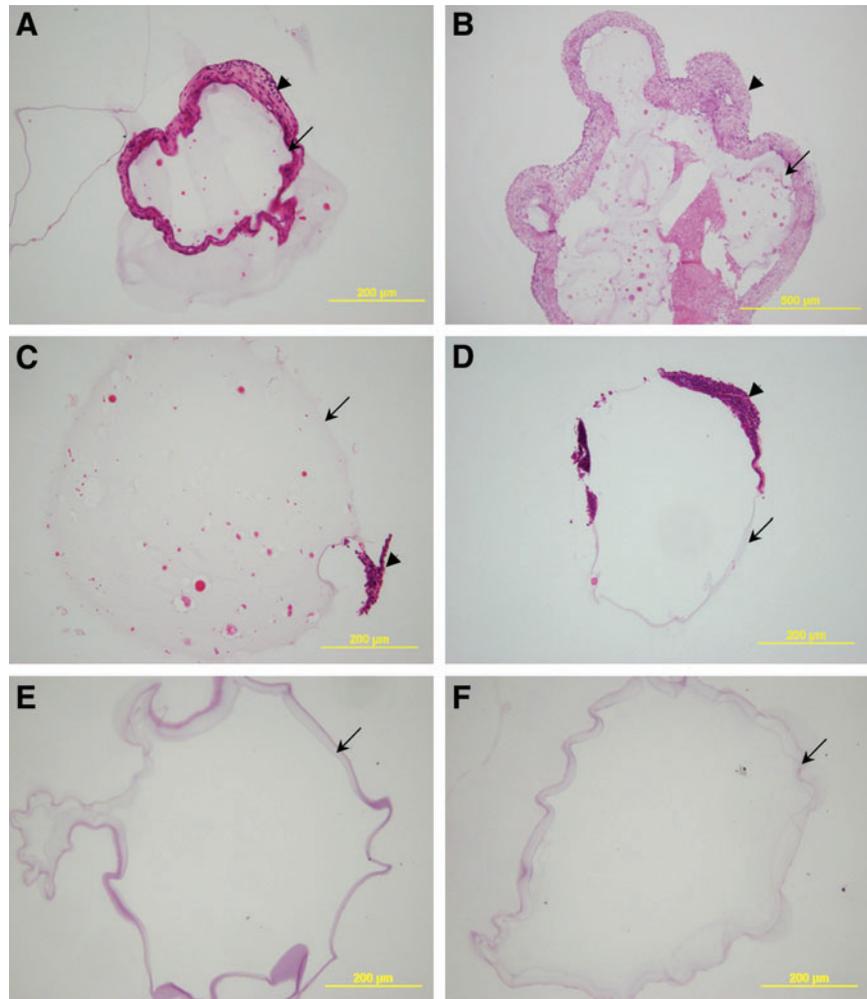


FIG. 6. Assessment of pericapsular fibrotic overgrowth. The fibrotic scores (A, C) and cell adhesion scores (B, D) on retrieved microcapsules in both syngeneic (A, B) and allogeneic (C, D) transplantation setting. Extent of host cell adhesion on retrieved grafts is represented by a cell adhesion score, on a scale of 0 (no cell adhesion) to 16 (complete host cell adhesion). Values are mean  $\pm$  SEM; \*\* $p$  < 0.001 and \*\*\* $p$  < 0.0001 for cell adhesion scores of non-heparinized and heparinized microcapsules compared with non-heparinized empty capsules (control) in all transplantation settings (One-way ANOVA with *posthoc* Duncan's Multiple-Comparison test);  $^{\$}p$  = 0.025 and  $^{\#}p$  < 0.001 for cell adhesion scores between non-heparinized and heparinized groups in syngeneic and allogeneic transplantation setting, respectively (Student's *t*-test).

anticoagulation effect. A similar approach incorporating biotin, avidin, and CHC has been used to anchor heparin onto the surface of nonencapsulated islets to prevent the instant blood-mediated inflammatory reaction (IBMIR), with complete loss of the heparin coating at 4 weeks post-transplantation.<sup>20,21</sup> The loss of heparin bioactivity at 3–4 weeks postheparinization might not be a major concern *in vivo* considering that PFO occurs in the immediate post-transplantation period.<sup>39</sup> The CHC binding process employed in this study was rapid, unlike other more complex heparinization procedures that have been reported<sup>40,41</sup> and gentle on the encapsulated cells. The viability of heparinized and non-heparinized encapsulated cells/islets was not altered by the heparinization procedure. Further, the heparinization process did not affect the capacity of human islets to secrete insulin in response to glucose, with encapsulated islets responding in a similar manner in both heparinized and non-heparinized microcapsules when tested *in vitro*. Moreover, all the diabetic immunodeficient mice that received either heparinized or non-heparinized encapsulated human islets achieved euglycemia within 4 days post-transplantation and subsequently responded normally to an OGTT showing that CHC binding did not affect islet function *in vivo*. Similar

results have been reported to occur when CHC were bound to nonencapsulated human, porcine, and mouse islets, with islet function preserved both *in vitro* and *in vivo*.<sup>20</sup>

Though alginate microcapsules can protect the encapsulated tissue from host immune cells and large cytotoxic antibodies such as IgM (but not IgG), they are still vulnerable to small molecules such as chemokines/cytokines that can damage the graft. The molecular weight cut-off of the barium alginate microcapsules used in this study was  $\sim$ 250 kDa with regard to proteins,<sup>23</sup> thereby making them susceptible to small cytotoxic chemokines such as interleukin-1 $\beta$  (IL-1 $\beta$ ), interferon-gamma (IFN- $\gamma$ ), and tissue necrosis factor-alpha (TNF- $\alpha$ ), which can cause  $\beta$ -cell death.<sup>42</sup> Further, IL-1 $\beta$  can induce  $\beta$ -cells to secrete monocyte chemoattractant protein-1 (MCP-1) (12 kDa), which can leak through the capsule pores and attract macrophages, thereby initiating an immune cascade leading to PFO and graft failure.<sup>9</sup> Therefore, modifying the microcapsule surface to adsorb these small chemokines/cytokines should prevent PFO in the early post-transplantation period and enhance graft survival. It is known that heparin and polymers with heparin-like properties can adsorb cytokines and, hence, prevent their entry into the capsule.<sup>43</sup> In our study,



**FIG. 7.** Histology of retrieved grafts. Hematoxylin-eosin staining of non-heparinized (A, B) and heparinized (C, D) encapsulated FAO-ins retrieved from the peritoneal cavity of Wistar rats at 3 weeks post-transplantation. Single (A) and clumped microcapsules (B) surrounded by several layers of fibrotic tissue (arrow heads) were seen in the non-heparinized compared with heparinized groups. Arrows point to the microcapsule surface. Empty non-heparinized microcapsules retrieved from both Lewis (E) and Wistar (F) rats had no fibrotic overgrowth with a score of 0. Color images available online at [www.liebertpub.com/tea](http://www.liebertpub.com/tea)

syngeneic transplantation of encapsulated rat islets resulted only in a mild PFO with a majority of microcapsules (>95%) having a fibrotic score of 0 or 1+ in both heparinized and non-heparinized groups. However, CHC binding significantly reduced the PFO by ~65%, and there were no microcapsules with fibrotic scores of  $\geq 3+$  in the heparinized groups compared with the non-heparinized controls. Similarly, allotransplantation of heparinized encapsulated FAO-ins cells did not prevent but reduced PFO by ~43% at 3 weeks post-transplantation, with the majority of microcapsules freely floating in the peritoneal cavity. In contrast, grafts retrieved from rats receiving non-heparinized microcapsules exhibited dense fibrotic overgrowth with clumping of the microcapsules. It is possible that the beneficial effect observed in both the syngeneic and allogeneic transplantation setting also may be attributed to the cytokine shielding properties of the bound heparin conjugates, in addition to their blocking adsorption of fibrin to the microcapsule. Others have shown that microcapsules made from polymers containing heparin<sup>44</sup> or having heparin-like properties<sup>45</sup> have improved biocompatibility and enhanced islet function both *in vitro* and *in vivo*. Another study investigated encapsulation of islets in heparin mimetic microcapsules; for instance, sulfated glucomannan-barium-alginate secreted less MCP-1. This resulted in reduced

macrophage infiltration and subsequently, the production of IL-1 $\beta$ , IFN- $\gamma$  and TNF- $\alpha$  by the host immune system when allotransplanted.<sup>46</sup> However, transplantation of non-heparinized empty microcapsules did not elicit an immune response and were freely floating in the peritoneal cavity with fibrotic scores ranging from 0 (97%) to 1+ (3%). This clearly suggests that the syngeneic and allogeneic immune responses seen in our study were directed against the encapsulated tissue rather than the encapsulating material, namely the alginate.

In conclusion, we have shown that macromolecular CHC can be bound successfully to barium alginate microcapsules using a simple two-step procedure via the cationic linker avidin. CHC binding did not affect islet function and was beneficial in reducing host cell adhesion in both a syngeneic and allogeneic transplantation setting. These data suggest that CHC binding may be useful to reduce the fibrotic response to various alginate microcapsules employed in designing a bioartificial pancreas for humans that utilizes human cells.

#### Acknowledgments

The authors are grateful for the support of the Chicago Diabetes Project and the Australian Foundation for Diabetes

Research in carrying out the project. They thank David Hunkeler and Meg Evans for their valuable input; Dusan Chorvat Jr from the International Laser Center in Bratislava for carrying out permeability studies; and IL and GK were supported by the Slovak Research and Development Agency under contract number APVV-51-033205 and 0486-10.

### Disclosure Statement

The authors do not have any competing financial interests in connection with this article.

### References

- Silva, A.I., de Matos, A.N., Brons, I.G., and Mateus, M. An overview on the development of a bio-artificial pancreas as a treatment of insulin-dependent diabetes mellitus. *Med Res Rev* **26**, 181, 2006.
- Basta, G., Montanucci, P., Luca, G., Boselli, C., Noya, G., Barbaro, B., Qi, M., Kinzer, K.P., Oberholzer, J., and Calafiore, R. Long-term metabolic and immunological follow-up of nonimmunosuppressed patients with type 1 diabetes treated with microencapsulated islet allografts: Four cases. *Diabetes Care* **34**, 2406, 2011.
- Tuch, B.E., Keogh, G.W., Williams, L.J., Wu, W., Foster, J.L., Vaithilingam, V., and Philips, R. Safety and viability of microencapsulated human islets transplanted into diabetic humans. *Diabetes Care* **32**, 1887, 2009.
- Jacobs-Tulleeneers-Thevissen, D., Chintinne, M., Ling, Z., Gillard, P., Schoonjans, L., Delvaux, G., Strand, B.L., Gorus, F., Keymeulen, B., and Pipeleers, D. Sustained function of alginate-encapsulated human islet cell implants in the peritoneal cavity of mice leading to a pilot study in a type 1 diabetic patient. *Diabetologia* **56**, 1605, 2013.
- Fritschy, W.M., De Vos, P., Groen, H., Klatter, F.A., Pasma, A., Wolters, G.H., and van Schilfhaarde, R. The capsular overgrowth on microencapsulated pancreatic islet grafts in streptozotocin and autoimmune diabetic rats. *Transplant Int* **7**, 264, 1994.
- Wijsman, J., Atkison, P., Mazaheri, R., Garcia, B., Paul, T., Vose, J., O'Shea, G., and Stiller, C. Histological and immunopathological analysis of recovered encapsulated allogeneic islets from transplanted diabetic BB/W rats. *Transplantation* **54**, 588, 1992.
- Soon-Shiong, P., Otterlei, M., Skjåk-Broek, G., Smidsrod, O., Heintz, R., Lanza, R.P., and Espevik, T. An immunological basis for the fibrotic reaction to implanted microcapsules. *Transplant Proc* **23**, 758, 1991.
- Gotfredsen, C.F., Stewart, M.G., O'Shea, G.M., Vose, J.R., Horn, T., and Moody, A.J. The fate of transplanted encapsulated islets in spontaneously diabetic BB\WOR rats. *Diabetes Res* **15**, 157, 1990.
- de Vos, P., Faas, M.M., Strand, B., and Calafiore, R. Alginate-based microcapsules for immunoisolation of pancreatic islets. *Biomaterials* **32**, 5603, 2006.
- de Vos, P., Wolters, G.H., and van Schilfhaarde, R. Possible relationship between fibrotic overgrowth of alginate-polylysine-alginate microencapsulated pancreatic islets and the microcapsule integrity. *Transplant Proc* **2**, 782, 1994.
- Horcher, A., Zekorn, T., Siebers, U., Klöck, G., Frank, H., Houben, R., Bretzel, R.G., Zimmermann, U., and Federlin, K. Transplantation of microencapsulated islets in rats: evidence for induction of fibrotic overgrowth by islet alloantigens released from microcapsules. *Transplant Proc* **2**, 784, 1994.
- Vandenbossche, G.M., Bracke, M.E., Cuvelier, C.A., Bortier, H.E., Mareel, M.M., and Remon, J.P. Host reaction against empty alginate-polylysine microcapsules. Influence of preparation procedure. *J Pharm Pharmacol* **45**, 115, 1993.
- Strand, B.L., Ryan, L., Veld, P., Kulseng, B., Rokstad, A.M., Skjåk-Braek, G., and Espevik, T. Poly-L-lysine induces fibrosis on alginate microcapsules via the induction of cytokines. *Cell Transplant* **10**, 263, 2001.
- de Vos, P., Straaten, J.F., Nieuwenhuizen, A.G., Groot, M., Ploeg, R.J., de Haan, B.J., and van Schilfhaarde, R. Why do microencapsulated islet grafts fail in the absence of fibrotic overgrowth? *Diabetes* **48**, 1381, 1999.
- Safley, S.A., Kapp, L.M., Tucker-Burden, C., Hering, B., Kapp, J.A., and Weber, C.J. Inhibition of cellular immune responses to encapsulated porcine islet xenografts by simultaneous blockade of two different costimulatory pathways. *Transplantation* **79**, 409, 2005.
- Yang, H., Al-Jazaeri, A., and Wright, J.R. The immunoprotective effect of Sertoli cells coencapsulated with islet xenografts is not dependent upon Fas ligand expression. *Cell Transplant* **11**, 799, 2002.
- Bunger, C.M., Tiefenbach, B., Jahnke, A., Gerlach, C., Freier, T.H., Schmitz, K.P., Hopt, U.T., Schareck, W., Klar, E., and de Vos, P. Deletion of the tissue response against alginate-PLL capsules by temporary release of co-encapsulated steroids. *Biomaterials* **26**, 2353, 2005.
- Zhang, W.J., Marx, S.K., Laue, C.H., Hyder, A., Juergensen, A., Bickel, M., and Schrezenmeir, J. HOE 077 reduces fibrotic overgrowth around the barium alginate microcapsules. *Transplant Proc* **32**, 206, 2000.
- Larsson, R. Heparin-binding to Improve Biocompatibility. *Encyclopedia of Biomaterials and Biomedical Engineering*. New York: Informa Healthcare, 2004.
- Cabric, S., Sanchez, J., Lundgren, T., Foss, A., Felldin, M., Källen, R., Salmela, K., Tibell, A., Tufveson, G., Larsson, R., Korsgren, O., and Nilsson, B. Islet surface heparinization prevents the instant blood-mediated inflammatory reaction in islet transplantation. *Diabetes* **56**, 2008, 2007.
- Cabric, S., Eich, T., Sanchez, J., Nilsson, B., Korsgren, O., and Larsson, R. A new method for incorporating functional heparin onto the surface of islets of Langerhans. *Tissue Eng Part C Methods* **14**, 141, 2008.
- Foster, J.L., Williams, G., Williams, L.J., and Tuch, B.E. Differentiation of transplanted microencapsulated fetal pancreatic cells. *Transplantation* **83**, 1440, 2007.
- Vaithilingam, V., Kollarikova, G., Qi, M., Lacik, I., Oberholzer, J., Guillemin, G.J., and Tuch, B.E. Effect of prolonged gelling time on the intrinsic properties of barium alginate microcapsules and its biocompatibility. *J Microencapsul* **28**, 499, 2011.
- Qi, M., Strand, B.L., Mørch, Y., Lacik, I., Wang, Y., Salehi, P., Barbaro, B., Gangemi, A., Kuechle, J., Romagnoli, T., Hansen, M.A., Rodriguez, L.A., Benedetti, E., Hunkeler, D., Skjåk-Braek, G., and Oberholzer, J. Encapsulation of human islets in novel inhomogeneous alginate-Ca<sup>2+</sup>/Ba<sup>2+</sup> microbeads: *In vitro* and *in vivo* function. *Artif Cells Blood Substit Immobil* **36**, 403, 2008.
- Vaithilingam, V., Barbaro, B., Oberholzer, J., and Tuch, B.E. Functional capacity of human islets after long-distance shipment and encapsulation. *Pancreas* **40**, 247, 2011.
- Vaithilingam, V., Oberholzer, J., Guillemin, G.J., and Tuch, B.E. Beneficial effects of desferrioxamine on encapsulated human islets—in vitro & in vivo study. *Am J Transplant* **10**, 1961, 2010.

27. Pitot, H.C., Peraino, C., Morse, P.A., Potter, V.R. Hepatoma in tissue culture compared with adapting liver *in vivo*. *Natl Cancer Inst Monograph* **13**, 229, 1964.
28. Vollenweider, F., Irminger, J.C., Gross, D.J., Villa-Komaroff, L., and Halban, P.A. Processing of proinsulin by transfected hepatoma (FAO) cells. *J Biol Chem* **267**, 14629, 1992.
29. Vaithilingam, V., Oberholzer, J., Guillemin, G.J., and Tuch, B.E. The humanized NOD/SCID mouse as a preclinical model to study the fate of encapsulated human islets. *Rev Diabet Stud* **7**, 62, 2010.
30. Tang L. Mechanisms of fibrinogen domains: biomaterial interactions. *J Biomater Sci Polym* **9**, 1257, 1998.
31. Hu, W.J., Eaton, J.W., and Tang, L. Molecular basis of biomaterial mediated foreign body reactions. *Blood* **98**, 1231, 2001.
32. Hagerty, R.D., Salzman, D.L., Kleinert, L.B., and Williams, S.K. Cellular proliferation and macrophage populations associated with implanted expanded polytetrafluoroethylene and polyethylene terephthalate. *J Biomed Mater Res* **49**, 489, 2000.
33. Weber, N., Wendel, H.P., and Ziemer, G. Hemocompatibility of heparin-coated surfaces and the role of selective plasma protein adsorption. *Biomaterials* **23**, 429, 2002.
34. Larsson, R., Eriksson, J.C., Lagergren, H., and Olsson, P. Platelet and plasma coagulation of heparinized and sulphated surfaces. *Thromb Res* **15**, 157, 1979.
35. Weber, N., Wendel, H.P., and Ziemer, G. Quality assessment of heparin coatings by their binding capacities of coagulation and complement enzymes. *J Biomater Appl* **15**, 8, 2000.
36. Kristensen, E.M., Rensmo, H., Larsson, R., and Siegbahn, H. Characterization of heparin surfaces using photoelectron spectroscopy and quartz crystal microbalance. *Biomaterials* **24**, 4153, 2003.
37. Donati, I., Holtan, S., Mørch, Y.A., Borgogna, M., Dentini, M., and Skjåk-Braek, G. New hypothesis on the role of alternating sequences in calcium-alginate gels. *Biomacromolecules* **6**, 1031, 2005.
38. Kett, W.C., Osmond, R.I., Moe, L., Skett, S.E., Kinnear, B.F., and Coombe, D.R. Avidin is a heparin-binding protein. Affinity, specificity and structural analysis. *Biochim Biophys Acta* **1620**, 225, 2003.
39. Bünger, C.M., Gerlach, C., Freier, T., Schmitz, K.P., Pilz, M., Werner, C., Jonas, L., Schareck, W., Hopt, U.T., and de Vos, P. Biocompatibility and surface structure of chemically modified immunoisolating alginate-PLL capsules. *J Biomed Mater Res A* **67**, 1219, 2003.
40. Li, J., Liu, X., Zhu, B., Shao, Y., Zhao, D., and Yang, X. Alginate-templated microcapsules carrying heparin via layer-by-layer self-assembly. *IEEE* **10**, 1420, 2008.
41. Jee, K.S., Park, H.D., Park, K.D., Kim, Y.H., and Shin, J.W. Heparin conjugated polylactide as a blood compatible material. *Biomacromolecules* **5**, 1877, 2004.
42. Rabinovitch, A., and Suarez-Pinzon, W.L. Cytokines and their roles in pancreatic islet  $\beta$ -cell destruction and insulin-dependent diabetes mellitus. *Biochem Pharmacol* **55**, 1139, 1998.
43. Xiao, C.B., Weng, L.H., and Zhang, L.N. Improvement of physical properties of crosslinked alginate and carboxymethyl Konjac Glucomannan Blend films. *J Appl Polym Sci* **84**, 2554, 2002.
44. Tatarkiewicz, K., Sitarek, E., Fiedor, P., Sabat, M., and Orłowski, T. *In vitro* and *In vivo* evaluation of protamine-heparin membrane for microencapsulation of rat langerhans islets. *Artif Organs* **18**, 736, 2008.
45. Chen, X., Zhang, L., Qi, Z., Guo, B., Zhong, L., Shen, B., Yan, Z., and Zhang, J. Novel sulfated glucomannan-barium-alginate microcapsules in islet transplantation: significantly decreased the secretion of monocyte chemotactic protein 1 and improved the activity of islet in rats. *Transplant Proc* **41**, 4307, 2009.
46. Chen, X., Shao, W., Chen, J.B., Zhang, L., Matthias, C., Shan, S.G., and Qi, Z.Q. Allograft transplantation of sulphate glucomannan-alginate barium (SGA)-microencapsulated rat islets for the treatment of diabetes mellitus. *Immunol Invest* **38**, 561, 2009.

Address correspondence to:  
 Vijayaganapathy Vaithilingam, PhD  
 CSIRO  
 Materials Science and Engineering  
 Riverside Corporate Park  
 11 Julius Avenue  
 North Ryde  
 Sydney NSW 2113  
 Australia

E-mail: vijay.vaithilingam@csiro.au

Received: April 24, 2013

Accepted: August 7, 2013

Online Publication Date: October 3, 2013

Reproduced with permission of the copyright owner. Further reproduction prohibited without permission.